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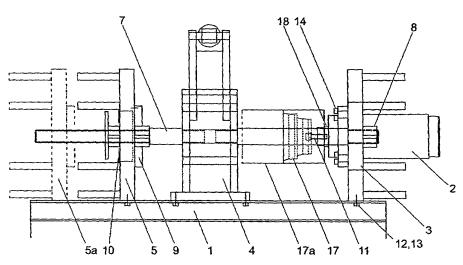
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#### Published:

With international search report.

[Continued on next page]

(54) Title: APPARATUS FOR SWAGING AN OBJECT



(57) Abstract: An apparatus for swaging an end of a tubular (22) is described as comprising a swaging head (17) for providing the swage to the end of the tubular (22). The swaging head (17) has two or more swaging formations (22A, 22B) provided thereon to permit swaging of differing diameters of tubular ends. The apparatus may comprise a stop plate (5, 9) for abutment against the other end of the tubular (22), where the swaging head (17) and the stop plate (5, 9) are movably coupled to one another. The apparatus may have a clamping device (4) for clamping the tubular (22), where the clamping device is split into at least three part-circular clamping segments (30) which clamp around the outer circumference of the tubular to permit it to be swaged. The clamping device (4) may have a plurality of teeth (35) for gripping the outer surface of the tubular (22), and a plurality of grooves (36) formed between the teeth (35). The gripping surface of each tooth (35) is substantially parallel to the longitudinal axis of the tubular (2) to be gripped.

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|  |   |  | MMERCE PATENT AND TRADEMARK OFFICE   | ATTORNEY'S DOCKET NUMBER                       |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|--|
| (REV. 10-2000) TRANSMITTAL LETTER                    |   | TRANSMITTAL LETTER T   | O THE UNITED STATES  | M0378/7000                                     |  |  |  |  |  |  |
| DESIGNATED/ELECTED                                   |   |  |  | U.S. APPLICATION NO (If known, see 37 CFR 1 5) |  |  |  |  |  |  |
| CONCERNING A FILING                                  |   |  |  | 09/913370                                      |  |  |  |  |  |  |
| IN   | ERN   | ATIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED                          |  |  |  |  |  |  |
|  |   | 00/00430   | 11 February 2000 (11.02.00)  | 12 February 1999 (12.02.99)                    |  |  |  |  |  |  |
|  | TITLE OF INVENTION  |  |  |  |  |  |  |  |  |  |
|  |   | ATUS FOR SWAGING AN OBJECT<br>ANT(S) FOR DO/EO/US  |  |  |  |  |  |  |  |  |
|  |   |  | AUGHLIN, Thomas Kilpatrick   |  |  |  |  |  |  |  |
| Ap   | WHYTE, Ronald; MUDGE, Joseph, Krist; MCLAUGHLIN, Thomas Kilpatrick  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |  |  |  |  |  |  |  |  |
| 1.   | ⊠   | This is a FIRST submission of items con  |  | <u> </u>                                       |  |  |  |  |  |  |
| 2.   |   |  | submission of items concerning a filing under 3  | 35 U.S.C. 371.                                 |  |  |  |  |  |  |
| 3.   | $\boxtimes$   |  | egin national examination procedures (35 U.S.C   |  |  |  |  |  |  |  |
|  |   |  |  | ***  |  |  |  |  |  |  |
| 4.   | ⊠   |  | n of 19 months from the earliest claimed priori  | ity date (PCT Article31).                      |  |  |  |  |  |  |
| 5.   | ⊠   | A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. □ is transmitted herewith (required only if not transmitted by the International Bureau). |  |  |  |  |  |  |  |  |
|  |   | b. ⊠ has been transmitted by the Interna   |  |  |  |  |  |  |  |  |
|  |   | c. $\Box$ is not required, as the application v  | vas filed in the United States Receiving Office  | (RO/US).                                       |  |  |  |  |  |  |
| 6.   |   | An English language translation of the Ir  | sternational Application as filed (35 U.S.C. 371 aly if not transmitted by the International Burea | (c)(2)).                                       |  |  |  |  |  |  |
|  |   | b. □ has been transmitted by the Interna   | tional Bureau.   | iu).   |  |  |  |  |  |  |
| 7.   | $\boxtimes$   | Amendments to the claims of the Interna  | tional Application under PCT Article 19 (35 U  | S.C. 371(c)(3))                                |  |  |  |  |  |  |
|  |   | a.   are attached hereto (required only i  | f not transmitted by the International Bureau).  | .5.0.571(0)(5)).                               |  |  |  |  |  |  |
|  |   | b. $\Box$ have been communicated by the In   | ternational Bureau.  |  |  |  |  |  |  |  |
|  |   |  | time limit for making such amendments has NO   | OT expired.                                    |  |  |  |  |  |  |
|  | ריין  | d. \( \text{ \text{M}}\) have not been made and will not be  |  |  |  |  |  |  |  |  |
| 8.   | 8   |  | mendments to the claims under PCT Article 19   | (35 U.S.C. 371(c)(3)).                         |  |  |  |  |  |  |
| 9.   |   | An oath or declaration of the inventor(s)  |  | d B  |  |  |  |  |  |  |
| •  |   | PCT Article 36 (35 U.S.C. 371(C)(5)).  | nnexes to the International Preliminary Examin   | ation Report under                             |  |  |  |  |  |  |
|  |   | . To 16. Below concern document(s) or  |  |  |  |  |  |  |  |  |
| 11.  |   | An Information Disclosure Statement und  |  |  |  |  |  |  |  |  |
| 1  |   |  | A separate cover sheet in compliance with 37 (   | CFR 3.28 and 3.31 is included.                 |  |  |  |  |  |  |
| 13.  | ⊠   | A FIRST preliminary amendment  |  |  |  |  |  |  |  |  |
| 14.  |   | A SECOND or SUBSEQUENT preliminary amendment.  |  |  |  |  |  |  |  |  |
| 15.  |   | A substitute specification.  |  |  |  |  |  |  |  |  |
|  | _   |  |  |  |  |  |  |  |  |  |
| 16.  | L   | A change of power of attorney and/or address letter.   |  |  |  |  |  |  |  |  |
| 17.  |   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C.1.821-1.825.   |  |  |  |  |  |  |  |  |
| 18.  |   | A second copy of the published international application under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |  |  |
| 19.  |   | A second copy of the English language tr   | anslation of the international application under   | 35 U.S.C. 154(d)(4).                           |  |  |  |  |  |  |
| Cor  | 20. ⊠ Other items or information: Copy of PCT Published Application without International Search Report   |  |  |  |  |  |  |  |  |  |
|  | Copy of International Search Report Copy of PCT/IB/306 form   |  |  |  |  |  |  |  |  |  |
| Copy of International Preliminary Examination Report |   |  |  |  |  |  |  |  |  |  |
|  |   | Mail Label No. EL819462491US   |  |  |  |  |  |  |  |  |
| Dat  | e iviai   | led: August 13, 2001   |  |  |  |  |  |  |  |  |
|  |   |  |  |  |  |  |  |  |  |  |

# 518 Rec'd PCT/PTO 13 AUG 2001

| U.S. APPLICATION NO (7 km con,                 | ATTORNEY'S DOCKET NUMBER                               |  |                              |                       |                      |  |  |  |
|--|--|--|------------------------------|-----------------------|----------------------|--|--|--|
| 21. ⊠ The following                            | M0378/7000  CALCULATIONS PTO USE ONLY                  |  |                              |                       |                      |  |  |  |
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| Neither international                          |  |  |                              |                       |                      |  |  |  |
| nor international se                           | arch fee (37 CFR 1.445(<br>earch Report not prepare    | a)(2)) paid to USPTO                                 | \$1,000,00                   |                       |                      |  |  |  |
| and international Se                           | eaten Report not prepare                               | a by the EPO of JPO                                  | \$1000.00                    |                       |                      |  |  |  |
|  |  | 7 CFR 1.482) not paid to                             |                              |                       |                      |  |  |  |
| USPTO but Interna                              | tional Search Report pre                               | pared by the EPO or JPO                              | \$860.00                     |                       |                      |  |  |  |
| International prelim                           | inary examination fee (3                               | 7 CFR 1.482) not paid to                             | USPTO but                    |                       |                      |  |  |  |
| but international sea                          |  |  |                              |                       |                      |  |  |  |
| Intomotional muslim                            |  |  |                              |                       |                      |  |  |  |
| But all claims did n                           | ot satisfy provisions of F                             | nid to USPTO (37 CFR 1.4<br>CT Article 33(1)-(4)     | \$690.00                     |                       |                      |  |  |  |
| 1  | · -  |  |                              |                       |                      |  |  |  |
|  |  | aid to USPTO (37 CFR 1.4                             |                              |                       |                      |  |  |  |
|  | ied provisions of PCT A                                | ASIC FEE AMOUNT                                      | \$100.00<br>= <b>860.00</b>  |                       |                      |  |  |  |
|  |  | eclaration later than 2                              |                              | \$860.00              |                      |  |  |  |
| months from the earliest                       | _  |  |                              |                       |                      |  |  |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXTRA   | RATE                         |                       | <u></u>              |  |  |  |
| Total Claims                                   | 44-20 =  | 24   | X \$18.00                    | \$432.00              |                      |  |  |  |
| Independent Claims                             | 4-3 =  | 1  | X \$80.00                    | \$80.00               |                      |  |  |  |
| MULTIPLE DEPENDE                               |  |  | +\$270.00                    | \$                    |                      |  |  |  |
| A - uli - out ole in our oll                   |  | R 1.27. The fees indicated                           |                              | \$1372.00             |                      |  |  |  |
| Applicant claims sman                          | entity status. See 37 Cr                               | K 1.27. The fees indicated                           | d above are reduced by       | \$                    |                      |  |  |  |
|  | ······································                 | SUBTO  | fal =                        | \$1372.00             |                      |  |  |  |
| Processing fee of \$130.0                      | 0 for furnishing the Engl                              | ish translation later than                           | □ 20 □ 30                    | \$                    |                      |  |  |  |
| months from the earliest                       | claimed priority date (37                              |  | ·····                        |                       |                      |  |  |  |
| 4  |  | TOTAL NATION   |                              | \$1372.00             |                      |  |  |  |
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| *accompanied by an appro                       |  | FR 3.28, 3.31). \$40.00 per <b>COTAL FEES ENCLOS</b> |                              | \$1372.00             |                      |  |  |  |
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| and granted to restore the                     |  |  | been met, a petition to revi | ve (37 CFR 1.137(a) ( | or (b) must be filed |  |  |  |
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| WOLF, GREENFIELD & SACKS, P.C. Douglas R. Wolf |  |  |                              |                       |                      |  |  |  |
| 600 Atlantic Avenue                            | <del> </del>   |  |                              |                       |                      |  |  |  |
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Form PTO-1390 (REV 11-2000)

page 2 of 2

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## 09/913370 518 Rec'd PCT/PTO 13 AUG 2001

Attorney's Docket No. M0378/7000 DRW

Express Mail Label No.: EL819462491

Date of mailing: August 13, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

WHYTE, Ronald, et al.

Serial No.:

Not yet assigned

Filing date:

Herewith

For:

APPARATUS FOR SWAGING AN OBJECT

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

**Box PCT** 

Commissioner for Patents Washington, D.C. 20231

Sir:

## PRELIMINARY AMENDMENT

Please amend the above-identified application, prior to Examination on the merits, to conform the specification with U.S. practice:

## In the Specification

On page 1, before the first paragraph, please add the subheading:

-- Field of the Invention--.

On page 1, after the subheading "Field of the Invention," please insert the following section:

## **Related Applications**

-- This application claims the benefit under 35 U.S.C. §120 or 35 U.S.C. §365(c) of PCT International application PCT/GB00/00430 designating the United States of America, and filed February 11, 2000.

Foreign priority benefits are claimed under 35 U.S.C. §119(a)-(d) or 35 U.S.C. §365(b) of Great Britain patent application number 9903150.2 filed February 12, 1999, which designated at least one country other than the United States.--.

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On page 1, after the insertion of **Related Applications**, please insert the subheading: --Background of the Invention--.

### In the Claims

Please rewrite claims 6, 7, 9, 10, 15, 16, 18, 19, 22, 23, 25, 27, 28, 32, 33, 36, and 39 as follows:

- 6. (Amended) An apparatus according to claim 4, wherein the surface of the internal bore of the swaging head provided by the taper from the first to the second diameter is a guiding surface, and the surface provided by the taper from the second to third diameter is a swaging surface.
- 7. (Amended) An apparatus according to claim 3, wherein the surface of the internal bore of the swaging head from the second/third diameter to the third/fourth diameter is arranged to be substantially perpendicular to the longitudinal axis of the swaging head.
- 9. (Amended) An apparatus according to claim 3, wherein the swaging head is arranged with at least first and second swaging formations, whereby the fourth diameter of the first swaging formation is greater than the first diameter of the second swaging formation.
- 10. (Amended) An apparatus according to claim 3, wherein the first diameter of the first swaging formation is the closest diameter of all of the diameters of all of the swaging formations to the tubular end, in use.
- 15. (Amended) An apparatus according to claim 13, wherein the surface of the external diameter of the swaging head provided by the taper from the first to the second diameter is a guiding surface, and the surface provided by the taper from the second to third diameter is a swaging surface.

- 16. (Amended) An apparatus according to claim 12, wherein the surface of the external diameter of the swaging head from the second/third diameter to the third/fourth diameter is arranged to be substantially perpendicular to the longitudinal axis of the swaging head.
- 18. (Amended) An apparatus according to claim 12, wherein the swaging head is arranged with at least first and second swaging formations, whereby the fourth diameter of the first swaging formation is smaller than the first diameter of the second swaging formation.
- 19. (Amended) An apparatus according to claim 12, wherein the first diameter of the first swaging formation is the closest diameter of all of the diameters of all of the swaging formations to the tubular end, in use.
- 22. (Amended) An apparatus according to claim 20, wherein the swaging head is moveable toward the stop plate by means of a piston.
- 23. (Amended) An apparatus according to claim 20, wherein the swaging head and the stop plate are movably coupled to one another by a frame.
- 25. (Amended) An apparatus according to claim 23, wherein the frame comprises at least one member coupled to both of the swaging head and the stop plate.
- 27. (Amended) An apparatus according to claim 25, wherein the coupling between the member and the stop plate comprises a screw thread engagement.
- 28. (Amended) An apparatus according to claim 20, wherein the stop plate comprises a bore and a device for obturating the bore, such that when the device obturates the bore, the device abuts the said other end of the tubular, in use.

Serial No.: Not yet assigned

-4-

Art Unit: Not yet assigned

- 32. (Amended) An apparatus according to claim 30, wherein there are two clamping devices provided, a forward clamping device which is arranged to be closest to the swaging head, and a rear clamping device which is arranged to be furthest from the swaging head.
- 33. (Amended) An apparatus according to claim 30, wherein the clamping segments are housed within a clamping ring.
- 36. (Amended) An apparatus according to claim 33, wherein the clamping ring is split into at least two part circular members.
- 39. (Amended) An apparatus according to claim 33, wherein a range of segments can be housed within the ring.

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## **REMARKS**

Applicant has amended the specification to provide priority application information under PCT Article 21(2) of the PCT application of which the above-identified application is a U.S. national stage application. No new matter has been added.

The claims have been amended to conform with U.S. practice. Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "MARKED-UP CLAIMS."

Respectfully submitted,

Douglas R. Wolf, Reg. No. 36,971

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue Boston, MA 02210 (617) 720-3500

Attorney's Docket No.: M0378/7000 DRW

Date: August 13, 2001

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Art Unit: Not yet assigned

## MARKED-UP CLAIMS

-6-

Claims 6, 7, 9, 10, 15, 16, 18, 19, 22, 23, 25, 27, 28, 32, 33, 36, and 39 have been amended as follows:

- 6. (Amended) An apparatus according to [either of claims] <u>claim</u> 4 [or 5], wherein the surface of the internal bore of the swaging head provided by the taper from the first to the second diameter is a guiding surface, and the surface provided by the taper from the second to third diameter is a swaging surface.
- 7. (Amended) An apparatus according to [any of claims] <u>claim</u> 3 [to 6], wherein the surface of the internal bore of the swaging head from the second/third diameter to the third/fourth diameter is arranged to be substantially perpendicular to the longitudinal axis of the swaging head.
- 9. (Amended) An apparatus according to [any of claims] <u>claim</u> 3 [to 8], wherein the swaging head is arranged with at least first and second swaging formations, whereby the fourth diameter of the first swaging formation is greater than the first diameter of the second swaging formation.
- 10. (Amended) An apparatus according to [any of claims] <u>claim</u> 3 [to 9], wherein the first diameter of the first swaging formation is the closest diameter of all of the diameters of all of the swaging formations to the tubular end, in use.
- 15. (Amended) An apparatus according to [either of claims] <u>claim</u> 13 [or 14], wherein the surface of the external diameter of the swaging head provided by the taper from the first to the second diameter is a guiding surface, and the surface provided by the taper from the second to third diameter is a swaging surface.
- 16. (Amended) An apparatus according to [any of claims] <u>claim</u> 12 [to 15], wherein the surface of the external diameter of the swaging head from the second/third diameter to the

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third/fourth diameter is arranged to be substantially perpendicular to the longitudinal axis of the swaging head.

- 18. (Amended) An apparatus according to [any of claims] <u>claim</u> 12 [to 17], wherein the swaging head is arranged with at least first and second swaging formations, whereby the fourth diameter of the first swaging formation is smaller than the first diameter of the second swaging formation.
- 19. (Amended) An apparatus cording to [any of claims] <u>claim</u> 12 [to 18], wherein the first diameter of the first swaging formation is the closest diameter of all of the diameters of all of the swaging formations to the tubular end, in use.
- 22. (Amended) An apparatus according to [either of claims] <u>claim</u> 20 [or 21], wherein the swaging head is moveable toward the stop plate by means of a piston.
- 23. (Amended) An apparatus according to [any of claims] <u>claim</u> 20 [to 22], wherein the swaging head and the stop plate are movably coupled to one another by a frame.
- 25. (Amended) An apparatus according to [either of claims] <u>claim</u> 23 [or 24], wherein the frame comprises at least one member coupled to both of the swaging head and the stop plate.
- 27. (Amended) An apparatus according to [either of claims] <u>claim</u> 25 [or 26], wherein the coupling between the member and the stop plate comprises a screw thread engagement.
- 28. (Amended) An apparatus according to [any of claims] <u>claim</u> 20 [to 27], wherein the stop plate comprises a bore and a device for obturating the bore, such that when the device obturates the bore, the device abuts the said other end of the tubular, in use.
- 32. (Amended) An apparatus according to [either of claims] <u>claim</u> 30 [or 31], wherein there are two clamping devices provided, a forward clamping device which is arranged to be closest to

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the swaging head, and a rear clamping device which is arranged to be furthest from the swaging head.

- 33. (Amended) An apparatus according to [any of claims] <u>claim</u> 30 [to 32], wherein the clamping segments are housed within a clamping ring.
- 36. (Amended) An apparatus according to [any of claims] <u>claim</u> 33 [to 35], wherein the clamping ring is split into at least two part circular members.
- 39. (Amended) An apparatus according to [any of claims] <u>claim</u> 33 [to 38], wherein a range of segments can be housed within the ring.

PCT/GB00/00430

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ID:

"Apparatus for Swaqing an Object"

The present invention relates to an apparatus for

swaqing an object, and particularly relates to an

Conventionally, casing tubulars have a standard pin

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apparatus for swaging an end of a tubular member, such as a length of casing or drillpipe used in the oil and gas industry.

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Maria Arman Maria Dan Hall

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type connector at each end, and one end of a casing tubular is connected to an end of another casing

tubular by means of a casing joint, commonly known as a coupler, and which comprises a short length of tube

having a standard box type connector at each end.Alternatively, tubulars, such as drill pipe in

particular, have a standard pin type connection at one end and a standard box type connection at the other

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end.

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a casing, lining or drill string has a substantially linear throughbore at the joints between the respective

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The pin and/or box connections are conventionally made

tubulars, and couplers if present.

It is important that a made up tubular string, such as

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up on a tubular by first swaging respectively inwardly or outwardly the outer diameter of the ends of the pipe by a suitable amount so that pins can be formed. This swaging of the outer diameter of the pipe necessarily respectively reduces or increases the internal diameter of the pipe end.

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After the end of the pipe has been swaged, the internal 8 or external diameter of the end of the pipe is then 9 machined. The swaging process ensures that there is 10 material around the entire circumference of the 11 internal or external diameter of the pipe that can be 12 machined away, thereby achieving concentricity of the 1,3 internal or external diameter of the pipe end. 14 Additionally, this ensures that there are no thick or 15 thin sections of wall thickness on the pipe end, 16 thereby ensuring a constant wall thickness to the pipe 17

18 19 20 end.

Thereafter, the screw thread of the pipe end can be formed on its outer or inner circumference.

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A conventional machine for swaging an end of a pipe comprises a swaging head having a single swaging formation thereon for swaging a particular diameter of pipe. The pipe to be swaged is held between a semicircular lower clamp and two upper quarter circular segments, where the two upper segments are hinged to the lower semi-circular clamp to permit the pipe to be inserted into the clamp. The clamp is provided with plurality of teeth, in a saw tooth arrangement, to grip the pipe. However, with the saw tooth arrangement, the teeth tend to bite into and damage the outer wall of the pipe. Furthermore, where the pipe has slight variations in the outer circumference of its wall, the teeth will tend to grip certain parts of the outer

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diameter more forcefully than other parts, since the

2 clamping device is substantially immoveable once it has

3 been closed.

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5 According to a first aspect of the present invention,

there is provided an apparatus for swaging an end of a

7 tubular, the apparatus comprising a swaging head for

8 providing the swage to the end of the tubular, wherein

9 the swaging head has two or more swaging formations

10 provided thereon to permit swaging of differing

11 diameters of tubular ends.

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13 The swaging formation may be provided on an internal

14 bore of the swaging head, such that the internal bore

of the swaging head engages the outer diameter of the

tubular end to provide the swage thereto.

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18 Each swaging formation may comprise a first diameter of

19 the swaging head, a second diameter being smaller than

the first diameter, a third diameter being smaller than

21 the second diameter, and a fourth diameter being

22 smaller than the third diameter. Preferably, the

23 internal bore of the swaging head tapers substantially

linearly inwardly, with respect to the longitudinal

25 axis of the swaging head, from the first diameter to

26 the second diameter, and from the second diameter to

27 the third diameter. Typically, the angle of the taper

28 from the first to the second diameter is greater than

29 the angle of the taper from the second to third

30 diameter. Typically, the surface of the internal bore

of the swaging head provided by the taper from the

32 first to the second diameter is a guiding surface, and

33 the surface provided by the taper from the second to

34 third diameter is a swaging surface.

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36 The surface of the internal bore of the swaging head

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from the second/third diameter to the third/fourth

2 diameter may be arranged to be substantially

- 3 perpendicular to the longitudinal axis of the swaging
- 4 head, and is preferably arranged to provide a shoulder
- 5 or a stop surface against which the tubular end
- 6 arrests.

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- 8 Preferably, the swaging head is arranged with at least
- 9 first and second swaging formations, whereby the fourth
- 10 diameter of the first swaging formation is greater than
- 11 the first diameter of the second swaging formation.
- 12 Typically, the first diameter of the first swaging
- 13 formation is the closest diameter of all of the
- diameters of all of the swaging formations to the
- 15 tubular end, in use.

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- 17 Alternatively, the swaging formation may be provided on
- 18 an external diameter of the swaging head, such that the
- 19 external diameter of the swaging head engages the inner
- 20 diameter of the tubular end to provide the swage
- 21 thereto.

- 23 Each swaging formation may comprise a first diameter of
- 24 the swaging head, a second diameter being greater than
- 25 the first diameter, a third diameter being greater than
- 26 the second diameter, and a fourth diameter being
- 27 greater than the third diameter. Preferably, the
- 28 external diameter of the swaging head tapers
- 29 substantially linearly outwardly, with respect to the
- 30 longitudinal axis of the swaging head, from the first
- 31 diameter to the second diameter, and from the second
- 32 diameter to the third diameter. Typically, the angle
- 33 of the taper from the first to the second diameter is
- 34 greater than the angle of the taper from the second to
- 35 third diameter. Typically, the surface of the external
- 36 diameter of the swaging head provided by the taper from

arrests.

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the first to the second diameter is a guiding surface, and the surface provided by the taper from the second to third diameter is a swaging surface.

The surface of the external diameter of the swaging
head from the second/third diameter to the third/fourth
diameter may be arranged to be substantially
perpendicular to the longitudinal axis of the swaging
head, and is preferably arranged to provide a shoulder
or a stop surface against which the tubular end

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Preferably, the swaging head is arranged with at least 13 first and second swaging formations, whereby the fourth 14 diameter of the first swaqing formation is smaller than 15 the first diameter of the second swaging formation. 16 Typically, the first diameter of the first swaging 17 formation is the closest diameter of all of the 18 diameters of all of the swaging formations to the 19 tubular end, in use. 20

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Two or more swaging formations may be provided.

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According to a second aspect of the present invention, there is provided an apparatus for swaging an end of a tubular, the apparatus comprising a swaging head for swaging the end of the tubular, and a stop plate for abutment against the other end of the tubular, the swaging head and the stop plate being movably coupled to one another.

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Movement of the swaging head and the stop plate toward one another typically facilitates swaging of the said one end of the tubular.

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36 Typically, the swaging head is moveable toward the stop

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plate by means of a piston, and preferably, the swaging head and the stop plate are movably coupled to one another by a frame. Typically, the frame is adjustable such that distance between the stop plate and the swaging head can be further varied by adjustment of the frame.

Typically, the frame comprising at least one member coupled to both of the swaging head and the stop plate, and preferably the coupling between the member and at least one of the stop plate and swaging head can be adjusted in order to vary the length of the member between the swaging head and the stop plate.

Preferably, the coupling between the member and the stop plate is in the form of a screw thread engagement.

 Preferably, the stop plate comprises a bore and a device for obturating the bore, such that when the device obturates the bore, the device abuts the said other end of the tubular. Typically, the device is removable from the stop plate such that a tubular to be swaged may be passed through the bore. This provides the invention with the advantage that the device can be inserted into or over the bore so that short lengths of tubular can be swaged, and the device can be removed from the stop plate so that longer lengths of tubular can be swaged.

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According to a third aspect of the present invention provides an apparatus for swaging an end of a tubular, the apparatus comprising a swaging head for swaging the end of the tubular, and a clamping device for clamping the tubular, the clamping device being split into at least three part-circular clamping segments which clamp substantially around the outer circumference of the tubular to permit it to be swaged.

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1 Preferably, there are at least four part-circular 2 clamping segments which clamp substantially around the 3 outer circumference of the tubular to permit it to be 4 swaged. 5 Preferably, there are two clamping devices provided, 6 7 typically a forward clamping device which is arranged to be closest to the swaging head, and a rear clamping 8 device which is arranged to be furthest from the 9 swaging head. 10 11 Typically, the clamping segments are housed within a 12 13 clamping ring, and may be mounted on the clamping ring in an arrangement such that the segments can move, 14 preferably only to a relatively small degree, with 15 respect to the ring. 16 17 Preferably, the clamping ring is split into at least 18 two part circular members, where the members may be 19 hinged together, such that the ring may be opened to 20 permit a tubular to be inserted into the ring, and 21 22 closed to clamp the segments around the tubular. 23 Typically, a range of segments can be housed within the 24 ring, where the range of segments may be of varying 25 radial thickness, to permit a range of differing 26 diameter tubulars to be clamped. 27 28 According to a fourth aspect, the present invention 29 provides an apparatus for swaging a tubular, the 30 apparatus comprising a swaging head for swaging the end 31 of the tubular, and a clamping device for clamping the 32 tubular, the clamping device having a plurality of 33 teeth for gripping the outer surface of the tubular, 34

and a plurality of grooves formed between the teeth,

wherein the gripping surface of each tooth is

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1 substantially parallel to the longitudinal axis of the 2 tubular to be gripped. 3 4 This provides the invention with the advantage that the 5 teeth do not bite into the outer surface of the tubular, thus avoiding damaging the tubular. 6 7 8 The grooves may be formed with two side walls which are 9 substantially perpendicular to the longitudinal axis of the tubular to be gripped, and may be formed with a 10 lowermost surface which is substantially parallel to 11 the longitudinal axis of the tubular to be gripped. 12 13 An embodiment of the present invention will now be 14 described, by way of example only, with reference to 15 the accompanying drawings, in which:-16 17 18 Fig. 1 is a side view of an apparatus for swaging 19 an end of a tubular in accordance with the present 20 invention; Fig. 2 is a plan view of the apparatus of Fig. 1; 21 22 Fig. 3 is an end view of the apparatus of Fig. 1; 23 Fig. 4 is an end view of the clamping device of the apparatus of Fig. 1; 24 25 Fig. 5 is a plan view of the clamping device of 26 Fig. 4; Fig. 6 is a cross-sectional view of a first 27 swaging head for use of the apparatus of Fig. 1; 28 Fig. 7 is a second swaging head for use with the 29 apparatus of Fig. 1; 30 31 Fig. 8 is a third swaging head for use with the 32 apparatus of Fig. 1; Fig. 9 is a fourth swaging head for use with the 33 34 apparatus of Fig. 1:

Fig. 10 is a series of part cross-sectional side

views of gripping devices for use with the

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1 clamping device of Fig. 4; 2 Fig. 11 is an end view of one of the sets of 3 gripping devices of Fig. 10; 4 Fig. 12 is a part cross-sectional side view of the 5 set of gripping devices of Fig. 11; 6 Fig. 13 is a detailed cross-sectional view of a 7 portion of the gripping device of Fig. 12; Fig. 14 is a side view of a first male swaqing 8 9 head for use of the apparatus of Fig. 1; Fig. 15 is a second male swaging head for use with 10 11 the apparatus of Fig. 1; 12 Fig. 16 is a third male swaging head for use with the apparatus of Fig. 1; and 13 14 Fig. 17 is a fourth male swaging head for use with 15 the apparatus of Fig. 1. 16 17 Fig. 1 shows an apparatus for swaging the end of a tubular or a pipe such as a length of casing or 18 19 drillpipe used in the oil and gas industry. 20 21 The apparatus comprises a base frame 1 which, in use of the apparatus, would typically lie on a workshop floor. 22 23 A press head 3 is mounted on the base frame 1 by means of a cap screw 12 and taper washer 13, such that the 24 press head 3 stands vertically upright from the 25 horizontally arranged base frame 1. A swaging cylinder 26 2 is mounted on the press head 3 by means of a 27 plurality of cap screws 14, such that the longitudinal 28 axis of the swaging cylinder 2 is arranged to be 29 substantially horizontal. A piston rod 18 is located 30 31 within the swaqing cylinder 2, such that the piston rod 18 lies on the longitudinal axis of the swaging 32 cylinder 2. The furthest end of the piston rod 18 is 33 typically coupled to a swaging or die head 17 by means 34 of a cap screw 11, such that actuation of the swaging 35 cylinder 2 moves the piston rod 18, and hence die head 36

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1 17 outwardly from the swaging cylinder 2, until the 2 piston rod 18 has potentially travelled its maximum 3 stroke or contact is made with the stop shoulder, which 4 is indicated in Fig. 1 by the die heads 17 reaching its 5 position which is shown in phantom 17A. As shown in 6 Fig. 1, it is preferred that the maximum stroke of the 7 piston rod 18, and hence die head 17, is twelve inches.

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A clamping unit 4 is mounted on the base frame 1 at approximately the mid-point of the base frame 1, such that the clamping unit stands vertically upright with respect to the base frame 1. The clamping unit 4 will be described in more detail subsequently.

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An end stop 5 is movably mounted upon the base frame 1, such that the end stop 5 stands vertically upright with respect to the base frame 1.

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A first pair of struts or strengthening members in the form of tie rods 6 are provided between the press head 3 and the clamping unit 4, and are arranged to lie on the plane of the longitudinal axis of the swaging cylinder 2, on either side of the die head 17. The tie rods 6 are secured to the press head 3 by means of nuts 8, and are screw threaded to the clamping unit 4. A second pair of struts or strengthening members in the form of tie rods 7 act between the clamping unit 4 and the end stop 5, and are arranged to lie on the plane of the longitudinal axis of the swaging cylinder 2. tie rods 7 are secured to the clamping unit 4 by means of screw threads, and are secured to the end stop 5 by means of a nut 19 on one side of the end stop 5, and a hand wheel nut 15 on the other side of the end stop 5. It should be noted that the majority of the outer surface of the tie rods 7 is provided with a screw

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thread formation thereon, such that an operator of the 1 2 apparatus can rotate the hand wheel nut 15 to permit the end stop 5 to be moved along the tie rods 7 from 3 the position of the end stop 5 shown in Fig. 1 to the 4 position of the end stop 5A shown in phantom in Figs. 1 5 and 2. Thus, the distance between the end stop 5 and 6 7 the die head 17 can be varied. 8 9 As shown in Fig. 2, the end stop 5 is provided with a bore 20, which can be obturated by placing a push plate 10 9 on the end stop 5, and attaching the push plate 9 by 11 means of a stud 13, nuts 16 and a retaining plate 21. 12 13 Accordingly, the push plate 9 can be placed on the end 14 stop 5, as shown in Figs. 1 and 2, and the end stop 5 15 can be positioned so that the push plate 9 butts 16 against an end of a relatively short length of pipe, 17 18 such as a pup joint 22 used in the oil and gas industry. The middle of the pup joint 22 can be 19 supported by the clamping unit 4, and the swaging 20 cylinder 2 can be operated to move the die head 17 21 toward the closest end of the pup joint 22 to it, such 22 that the die head 17 swages the end of the pup joint 23 24 22. 26 As shown in Fig. 4, the clamping unit 4 comprises a clamp base 41, and a pair of clamp arms 42, 43 which 27

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are respectively hingedly coupled to the clamp base 41 28 by means of pivot pins 44, washers 51 and split pins 52 29 at the lowest ends of the respective clamp arms 42, 43. 30 The upper ends of the clamp arms 42, 43 can be 31 releasably coupled together by means of a cylinder 45 32 which is attached to one of the clamp arms 43 by means 33 of a trunnion bearing half 46 and a socket head cap 34 screw 47. A trunnion pin 48 is mounted on the other 35

clamp arm 42 by means of a washer 49 and split pin 50, 36

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- 1 and the trunnion pin 48 is engageable with the trunnion
- bearing half 46, such that operation of the cylinder 45
- 3 pulls the clamp arms 42, 43 toward one another.
- 4 However, it should be noted that the connection between
- 5 the arms 42, 43 can be varied so as to make them
- 6 interchangeable, for ease of production.

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- 8 Thus, the clamp arms 42A, 43A are moveable from their
- 9 open position shown in phantom on Fig. 4 in which a
- 10 pipe (not shown) can be inserted into the clamp unit 4,
- 11 to a closed position 42, 43 in which the clamping arms
- 12 42, 43 substantially surround a section of the outer
- 13 circumference of the tubular.

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- 15 A first example of a "female" die head 17A is shown in
- 16 Fig. 6, where this die head 17A is suitable for swaging
- 17 two different pipe sizes, these being a relatively
- large pipe size of 13<sup>3</sup>/<sub>8</sub> inches outer diameter, and a
- smaller pipe having an outer diameter of 103/4 inch.
- 20 However, it should be noted that the specific
- 21 dimensions of the diehead can be varied for different
- 22 swaging requirements.

- 24 This example of the die head 17A has a first swaging
- 25 formation, generally designated as 22A, and is formed
- on the internal bore of the die head 17A. This first
- 27 swaging formation 22A has a first diameter 23A formed
- at the mouth of the internal bore of the die head 17A.
- 29 A second diameter 24A is shown as being to the right of
- 30 the first diameter 23a in Fig. 6, where the second
- 31 diameter 24A is slightly smaller than the first
- 32 diameter 23A (13.86 inches). The surface of the
- 33 internal bore tapers linearly inwards from the first
- 34 23A to the second 24A diameters at an angle of 9° to
- 35 the longitudinal axis of the die head 17, and forms a
- 36 lead-in surface 25A to guide the pipe end into the

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internal bore of the die head 17. A third diameter 26A 1 2 is shown in Fig. 6 as being to the right of the second 3 diameter 24A, where the third diameter 26A is smaller (13.24 inches) than the second-diameter 24A. 4 5 surface of the internal bore tapers linearly inwardly 6 from the second 24A to the third 26A diameters at an 7 angle of 3° to the longitudinal axis of the die head 8 17, where the surface between the second 24A and third 9 26A diameters forms a swaging surface 27A to provide a swage to the 133/, inch pipe end. A shoulder 28A 10 projects radially inwardly at an angle perpendicular to 11 12 the longitudinal axis of the die head 17 and provides a 13 stop surface thereon to ensure that the die heads 17

cannot "overswage" the pipe end.

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A second swaging formation 22B is also provided on the internal bore of the die heads 17, and is shown in Fig. 6 as being to the right of the first swaging formation 22A. The various diameters 23B, 24B, 26B of the second swaging formation 22B are all smaller than the respective diameters 23A, 24A, 26A of the first swaging formation 22A, and are of a size suitable for providing a swage to a 10<sup>3</sup>/<sub>4</sub> inch pipe.

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Fig. 7 shows a second example of a die head 17B, and which has a first swaging formation 22C, which is similar to the first swaging formation 22A of the die head 17A, and a similar second swaging formation 22D.

The swaging formations 22C, 22D are sized to provide a swage to respective pipe sizes 95/8 inch and 75/8 inch.

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Fig. 8 shows a third example of the die head 17C, where this die head 17C has three swaging formations 22E, 22F, 22G provided thereon to enable the die head 17C to provide a swage to three different pipe sizes, these being respectively 7 inch, 5<sup>1</sup>/<sub>2</sub> inch and 4<sup>1</sup>/<sub>2</sub> inch.

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14 Fig. 9 shows a fourth example of a die head 17D, also 1 having three swaging formations 22H, 22I, 22J provided 2 thereon to enable the die head 17D to provide a swage 3 to three different pipe sizes, these being respectively 4 65/, inch, 5 inch and 4 inch pipe diameters. 5 6 An operator of the apparatus can choose the correct die 7 head 17A, B, C, D as required by the diameter of the 8 pipe, and can attach the correct die head 17A, B, C, D 9 by means of the cap screw 11. 10 11 It will also be appreciated by those skilled in the art 12 that a die head having one or more swaging formations 13 formed on it's outer circumference for providing a 14 swage to the inner bore of an end of a tubular can also 15 be provided for use with the apparatus, and such a 16 range of "male" dieheads is shown in Figs. 14 to 17. 17 The one or more swaging formations on the outer 18 circumference are, in essence, mirror images of the 19 swaging formations hereinbefore described in detail. 20 21 Figs. 11 and 12 show one set of clamping segments or 22 collets 30A, B, C, D where each clamping collet 30 23 circumscribes an angle of preferably slightly less than 24 90° of a circle. However, it should be noted that two 25 sets of clamping collets 30, 32 are utilised in the 26 apparatus, as will now be described. As shown in Fig. 27 10, a forward set 30 of collets is mounted to the 28 clamping unit 4, where this first set 30 is arranged to 29 be closest to the die head 17, and a rear set 32 of 30 clamping collets is also mounted to the clamping unit 31 The two lower clamping collets 30B, 30C are mounted 32 to the lower semi-circular bore of the clamp base 41, 33

and one of the upper clamping collets 30A, 30B are

mounted to the respective clamp arms 42, 43, where each

clamping collet 30A, B, C, D is mounted to the clamping

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units by means of a fixing screw 33 which passes 1 through a first aperture 34 in the respective clamping 2 collet 30A, B, C, D. Thus, since there is only one 3 fixing screw 33 per clamping collet, the clamping 4 collets 30 can move slightly with respect to the 5 clamping unit 4, and this provides the apparatus with 6 the advantage that the clamping collets can move to 7 compensate for slight irregularities in the outer 8 circumference of the pipe to be swaged. 9

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However, the two lower clamping collets 30B, 30C may be 11 modified to be combined into one lower clamping collet 12 (not shown) which would preferably circumscribe an 13 angle of slightly less than 180° of a circle. 14 modified lower clamping collet is also preferably 15 mounted on the clamping unit in a suitable arrangement 16 such that it can move slightly with respect to the 17 clamping unit 4. 18

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The inner bore of the clamping collets 30 is provided with a clamping formation thereon, as shown in Fig. 13. The clamping formation comprises a plurality of flat teeth 35 which are of equal width. The upper surface of the flat teeth 35 are parallel with the longitudinal axis of the pipe to be swaged, and the flat teeth 35 are spaced apart by substantially flat troughs 36, where the flat troughs 36 are of substantially equal length with the flat teeth 35. In the clamping collets 30 shown in Fig. 13, there are six flat teeth 35 per inch along the internal surface of the clamping collets The presence of the flat troughs 36 provide the advantage that corrosion or contamination appearing on the outer surface of the pipe to be swaged can be squeezed off by the flat teeth 35 and located within the flat troughs 36, thus providing an enhanced clamping action upon the pipe to be swaged.

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Furthermore, the flat teeth 35 do not "bite" into the

2 outer surface of the pipe to be swaged. 3 As shown in Fig. 10, there are ten arrangements of sets 4 of clamping collets for clamping ten different 5 diameters of pipe, although there may be additional 6 sets provided for non-standard diameter pipes. 7 first set, as shown in set (1), is for clamping around 8 the largest casing diameter normally used, this being 9 13.38 inches. Set (2) and set (3) are for clamping 10 10.75 inches and 9.63 inches diameter pipes 11 respectively, with clamping collets 56 and 57 12 respectively. The clamping collets 57 of set (3) can 13 be combined with different radius collet inserts 58A, 14 B, C, D, E, F, G by means of fixing screws 59 to permit 15 smaller diameter pipe sizes 7.62 inches, 7 inches, 6.62 16 inches, 5.5 inches, 5 inches, 4.5 inches and 4 inches 17 respectively to be clamped. Thus, by combining the 18 collet inserts 58A-G with the clamping collets 57, the 19 apparatus has the advantage of providing a flexible 20 arrangement for clamping and thereafter swaging a 21 variety of different diameter pipe sizes. 22 23 As stated before, the push plate 9 can be located on 24 25 26

the end stop 5 to permit short lengths of pipe such as pup joints 22 to be swaged; clamping unit 4 is not used in this case and the two lower clamping collets 30B, 30C support the pup joint 22 at its mid point. For longer lengths of pipe, the push plate 9 is removed, and the pipe end to be swaged is passed through the bore 20 of the end stop 5, and the clamp arms 42, 43 are closed around the outer diameter of the pipe.

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The die head 17 is typically pushed onto the end of the pipe to be swaged, with typically 350 tonnes of push being applied. With this amount of push being applied,

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a shoulder 60 is provided on the clamping collets 30, 1 2 32, 56, 57, and a shoulder 62 is provided on the collet 3 inserts 58A-G, to ensure that the respective screws 33, 59 are not broken when the push-is applied. 4 5 6 The hydraulic pressure requirements of the cylinder 2 7 are thus very high, and for many pipes, the piston push provided by the cylinder 2, 45 will be too great. 8 9 Therefore, there is provided a safety control system, 10 on both the clamp unit 4 to ensure that the pipe is not crushed, and also on the die head piston cylinder 2, to 11 12 ensure that overpressure is not applied when swaqing. An unloading valve is included in the hydraulic fluid 13 control circuit and is arranged to dump overpressure of 14 hydraulic fluid back into the hydraulic fluid 15 reservoir. The unloading valve is actuated by the 16 electronic circuit. Before swaging a pipe, the 17 18 operator of the apparatus looks up the characteristics of the pipe in a manual provided with the apparatus, 19 20 where the characteristics are typically weight or wall thickness, the grade of metal used in the pipe, and the 21 22 outside diameter of the pipe. The manual then informs 23 the operator what the safe pressure or load that the operator can apply to both the clamp unit and the 24 swaging cylinder 2. The operator then inputs this safe 25 pressure or load into the electronic circuit which, if 26 this safe pressure or load is exceeded, the electronic 27 circuit then operates the unloading valve. Operation 28 of the unloading valve however retains the intended 29 safe working pressure or load. A visual indicator may 30 be used in addition, or in the alternative to the 31 electronic circuit, to indicate that the correct 32 pressure has been achieved. 33

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Modifications and improvements may be incorporated into the embodiment without departing from the scope of the

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1 <u>CLAIMS:-</u>

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- 3 1. An apparatus for swaging an end of a tubular, the
- 4 apparatus comprising a swaging head for providing the
- 5 swage to the end of the tubular, wherein the swaging
- 6 head has two or more swaging formations provided
- 7 thereon to permit swaging of differing diameters of
- 8 tubular ends.

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- 10 2. An apparatus according to claim 1, wherein the
- swaging formation is provided on an internal bore of
- 12 the swaging head, such that the internal bore of the
- 13 swaging head is capable of engaging the outer diameter
- of the tubular end to provide the swage thereto.

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- 16 3. An apparatus according to claim 2, wherein each
- 17 swaging formation comprises a first diameter of the
- 18 swaging head, a second diameter being smaller than the
- 19 first diameter, a third diameter being smaller than the
- 20 second diameter, and a fourth diameter being smaller
- 21 than the third diameter.

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- 23 4. An apparatus according to claim 3, wherein the
- 24 internal bore of the swaging head tapers substantially
- linearly inwardly, with respect to the longitudinal
- axis of the swaging head, from the first diameter to
- 27 the second diameter, and from the second diameter to
- 28 the third diameter.

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- 30 5. An apparatus according to claim 4, wherein the
- 31 angle of the taper from the first to the second
- 32 diameter is greater than the angle of the taper from
- 33 the second to third diameter.

- An apparatus according to either of claims 4 or 5,
  - 36 wherein the surface of the internal bore of the swaging

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1 head provided by the taper from the first to the second

- 2 diameter is a guiding surface, and the surface provided
- 3 by the taper from the second to third diameter is a
- 4 swaging surface.

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- 6 7. An apparatus according to any of claims 3 to 6,
- 7 wherein the surface of the internal bore of the swaging
- 8 head from the second/third diameter to the third/fourth
- 9 diameter is arranged to be substantially perpendicular
- 10 to the longitudinal axis of the swaging head.

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- 12 8. An apparatus according to claim 7, wherein the
- 13 surface of the internal bore of the swaging head from
- 14 the second/third drameter is arranged to provide a
- 15 shoulder or a stop surface against which the tubular
- 16 end arrests, in use.

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- 18 9. An apparatus according to any of claims 3 to 8,
- wherein the swaging head is arranged with at least
- 20 first and second swaging formations, whereby the fourth
- 21 diameter of the first swaging formation is greater than
- the first diameter of the second swaging formation.

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- 24 10. An apparatus according to any of claims 3 to 9,
- 25 wherein the first diameter of the first swaging
- 26 formation is the closest diameter of all of the
- 27 diameters of all of the swaging formations to the
- 28 tubular end, in use.

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- 30 ll. An apparatus according to claim 1, wherein the
- 31 swaging formation is provided on an external diameter
- of the swaging head, such that the external diameter of
- 33 the swaging head engages the inner diameter of the
- 34 tubular end to provide the swage thereto.

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36 12. An apparatus according to claim 11, wherein each

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- swaging formation comprises a first diameter of the
- 2 swaging head, a second diameter being greater than the
- 3. first diameter, a third diameter being greater than the
- 4 second diameter, and a fourth diameter being greater
- 5 than the third diameter.

6

- 7 13. An apparatus according to claim 12, wherein the
- 8 external diameter of the swaging head tapers
- 9 substantially linearly outwardly, with respect to the
- 10 longitudinal axis of the swaging head, from the first
- 11 diameter to the second diameter, and from the second
- 12 diameter to the third diameter.

13

- 14. An apparatus according to claim 13, wherein the
- angle of the taper from the first to the second
- diameter is greater than the angle of the taper from
- 17 the second to third diameter.

18

- 19 15. An apparatus according to either of claims 13 or
- 20 14, wherein the surface of the external diameter of the
- 21 swaging head provided by the taper from the first to
- 22 the second diameter is a guiding surface, and the
- 23 surface provided by the taper from the second to third
- 24 diameter is a swaging surface.

25

- 26 16. An apparatus according to any of claims 12 to 15,
- 27 wherein the surface of the external diameter of the
- 28 swaging head from the second/third diameter to the
- 29 third/fourth diameter is arranged to be substantially
- 30 perpendicular to the longitudinal axis of the swaging
- 31 head.

- 33 17. An apparatus according to claim 16, wherein the
- 34 surface of the external diameter of the swaging head
- from the second/third diameter to the third/fourth
- diameter is arranged to provide a shoulder or a stop

22

surface against which the tubular end arrests, in use.

2

- 3 18. An apparatus according to any of claims 12 to 17,
- 4 wherein the swaging head is arranged with at least
- first and second swaging formations, whereby the fourth
- 6 diameter of the first swaging formation is smaller than
- 7 the first diameter of the second swaging formation.

8

- 9 19. An apparatus cording to any of claims 12 to 18,
- 10 wherein the first diameter of the first swaging
- 11 formation is the closest diameter of all of the
- 12 diameters of all of the swaging formations to the
- 13 tubular end, in use.

14

- 20. An apparatus for swaging an end of a tubular, the
- 16 apparatus comprising a swaging head for swaging the end
- of the tubular, and a stop plate for abutment against
- 18 the other end of the tubular, the swaging head and the
- 19 stop plate being movably coupled to one another.

20

- 21 21. An apparatus according to claim 20, wherein
- 22 movement of the swaging head and the stop plate toward
- one another facilitates swaging of the said one end of
- 24 the tubular.

25

- 26 22. An apparatus according to either of claims 20 or
- 27 21, wherein the swaging head is moveable toward the
- 28 stop plate by means of a piston.

29

- 30 23. An apparatus according to any of claims 20 to 22,
- 31 wherein the swaging head and the stop plate are movably
- 32 coupled to one another by a frame.

- 34 24. An apparatus according to claim 23, wherein the
- 35 frame is adjustable such that the distance between the
- 36 stop plate and the swaging head can be further varied

23

by means of adjustment of the frame.

2

- 3 25. An apparatus according to either of claims 23 or
- 4 24, wherein the frame comprises at least one member
- 5 coupled to both of the swaging head and the stop plate.

6

- 7 26. An apparatus according to claim 25, wherein the
- 8 coupling between the member and at least one of the
- 9 stop plate and swaging head is capable of adjustment in
- order to vary the length of the member between the
- 11 swaging head and the stop plate.

12

- 13 27. An apparatus according to either of claims 25 or
- 14 26, wherein the coupling between the member and the
- 15 stop plate comprises a screw thread engagement.

16

- 17 28. An apparatus according to any of claims 20 to 27,
- 18 wherein the stop plate comprises a bore and a device
- 19 for obturating the bore, such that when the device
- 20 obturates the bore, the device abuts the said other end
- 21 of the tubular, in use.

22

- 23 29. An apparatus according to claim 28, wherein the
- 24 device is removable from the stop plate such that a
- 25 tubular to be swaged may be passed through the bore of
- 26 the stop plate.

27

- 28 30. An apparatus for swaging an end of a tubular, the
- 29 apparatus comprising a swaging head for swaging the end
- of the tubular, and a clamping device for clamping the
- 31 tubular, the clamping device being split into at least
- 32 three part-circular clamping segments which clamp
- 33 substantially around the outer circumference of a
- 34 portion of the tubular to permit it to be swaged.

35

36 31. An apparatus according to claim 30, wherein there

24

are at least four part-circular clamping segments which clamp substantially around the outer circumference of

3. the tubular to permit it to be swaged.

4

- 5 32. An apparatus according to either of claims 30 or
- 6 31, wherein there are two clamping devices provided, a
- 7 forward clamping device which is arranged to be closest
- 8 to the swaging head, and a rear clamping device which
- 9 is arranged to be furthest from the swaging head.

10

- 11 33. An apparatus according to any of claims 30 to 32,
- wherein the clamping segments are housed within a
- 13 clamping ring.

14

- 15 34. An apparatus according to claim 33, wherein the
- 16 clamping segments are mounted on the clamping ring in
- an arrangement such that the segments can move with
- 18 respect to the ring.

19

- 20 35. An apparatus according to claim 34, wherein the
- 21 clamping segments can move only to a relatively small
- 22 degree with respect to the ring.

23

- 24 36. An apparatus according to any of claims 33 to 35,
- 25 wherein the clamping ring is split into at least two
- 26 part circular members.

27

- 28 37. An apparatus according to claim 36, wherein the
- 29 two part circular members are hinged together.

30

- 31 38. An apparatus according to claim 37, wherein the
- 32 two part circular members are hinged together such that
- the ring is capable of being opened to permit a tubular
- 34 to be inserted into the ring, and closed to clamp the
- 35 segments around the tubular.

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25

1 39. An apparatus according to any of claims 33 to 38,

wherein a range of segments can be housed within the

3 ring.

4

5 40. An apparatus according to claim 39, wherein the

6 range of segments is of varying radial thickness, to

7 permit a range of differing diameter tubulars to be

8 clamped.

9

10 41. An apparatus for swaging a tubular, the apparatus

comprising a swaging head for swaging the end of the

12 tubular, and a clamping device for clamping the

13 tubular, the clamping device having a plurality of

14 teeth for gripping the outer surface of the tubular,

and a plurality of grooves formed between the teeth,

16 wherein the gripping surface of each tooth is

17 substantially parallel to the longitudinal axis of the

18 tubular to be gripped.

19

20 42. An apparatus according to claim 41, wherein the

21 grooves are formed with two side walls which are

22 substantially perpendicular to the longitudinal axis of

23 the tubular to be gripped.

24

25 43. An apparatus according to claim 42, wherein the

26 grooves are formed with a lowermost surface which is

27 substantially parallel to the longitudinal axis of the

28 tubular to be gripped.

29

30 44. A clamping device for use with the apparatus of

31 claim 41, the clamping device comprising a plurality of

32 teeth for gripping the outer surface of a tubular, and

33 a plurality of grooves formed between the teeth;

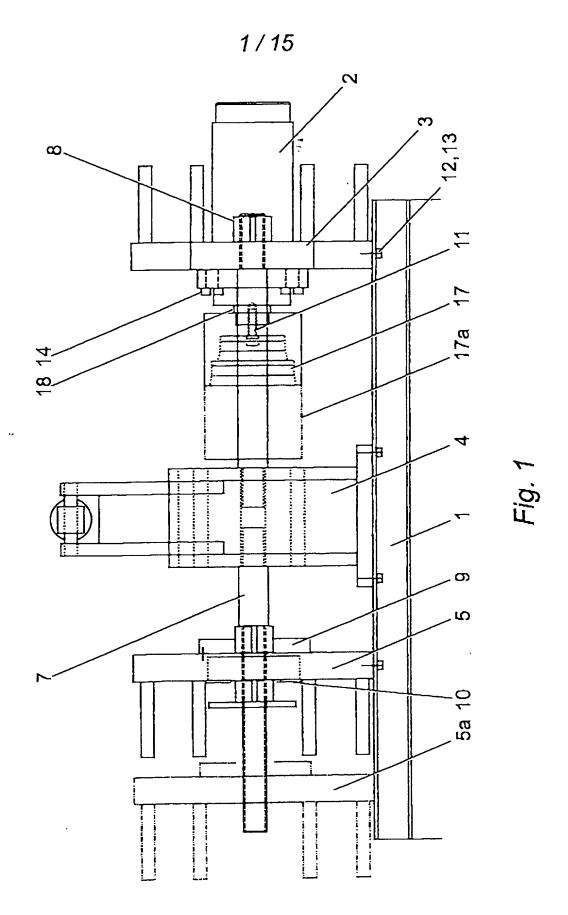
34 wherein the gripping surface of each tooth is

35 substantially parallel to the longitudinal axis of the

36 tubular to be gripped.

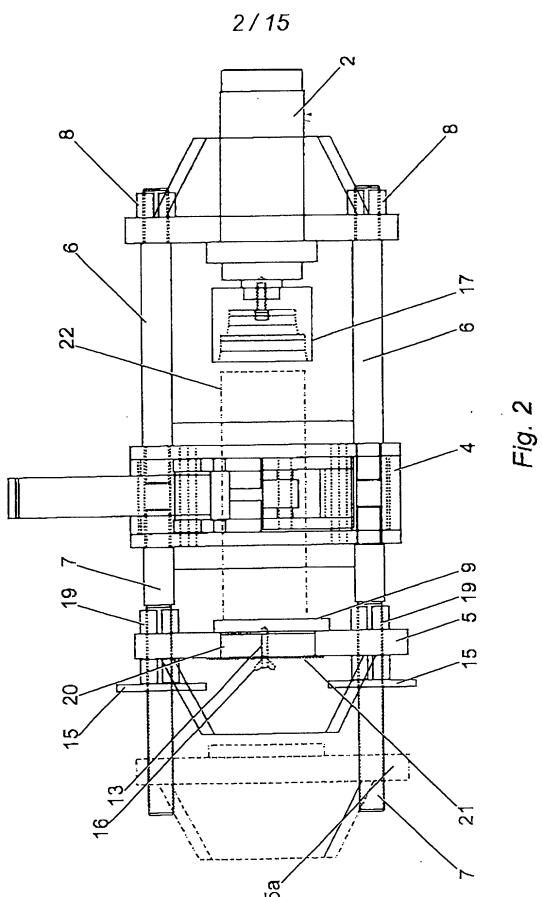
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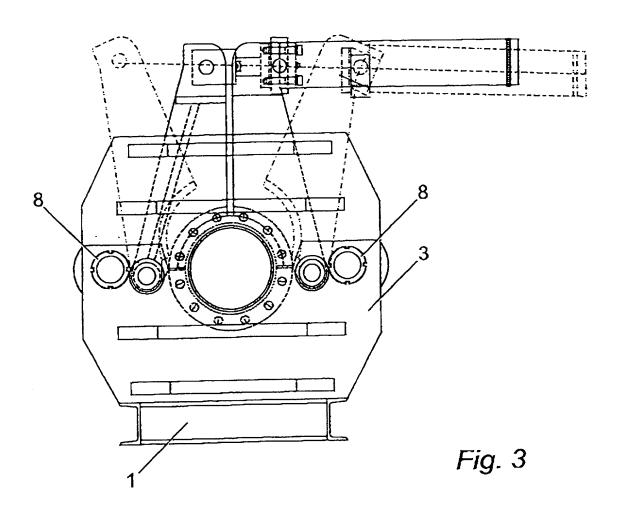
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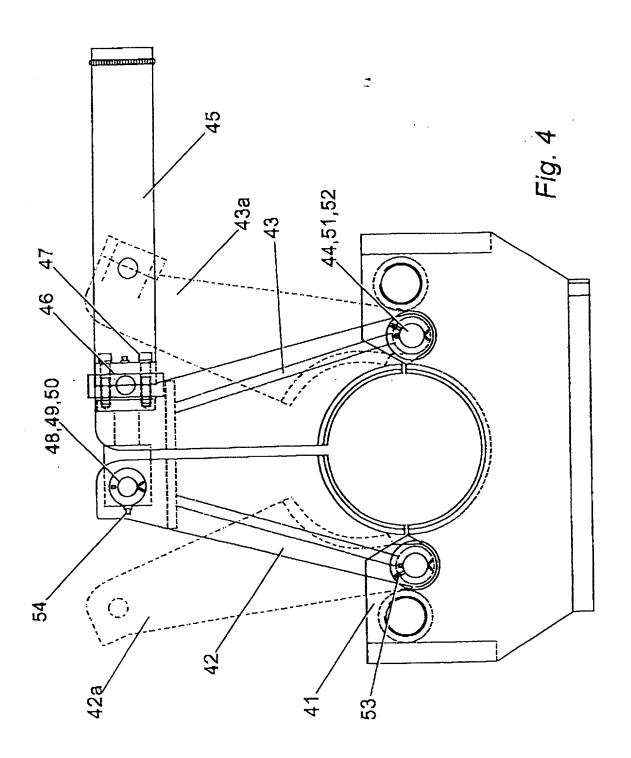
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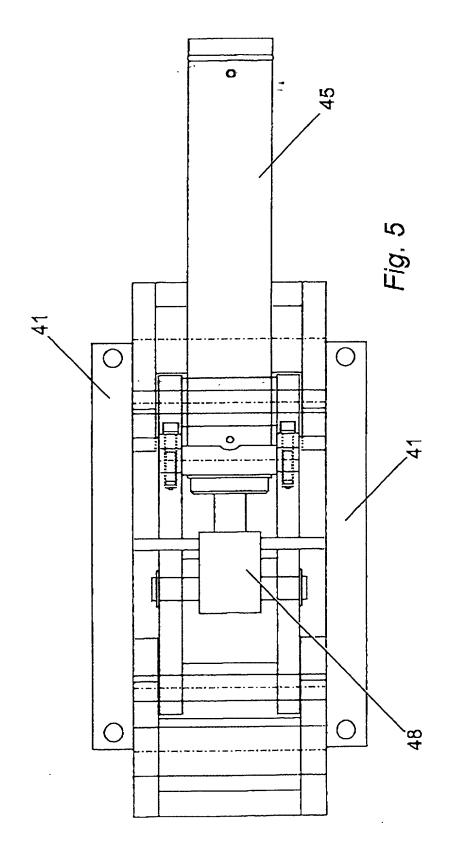
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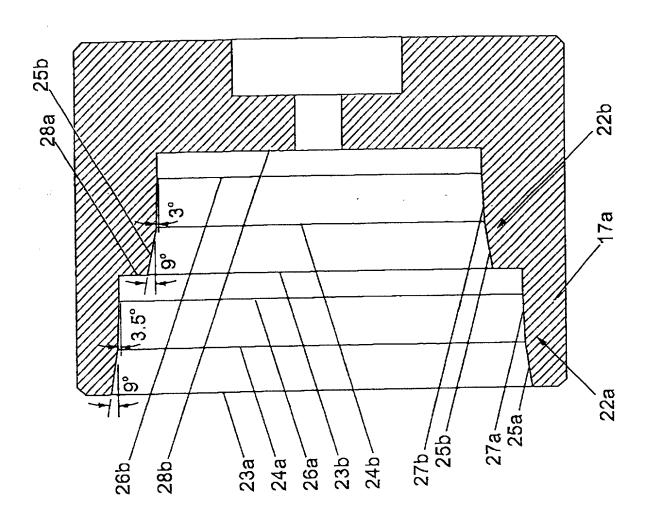




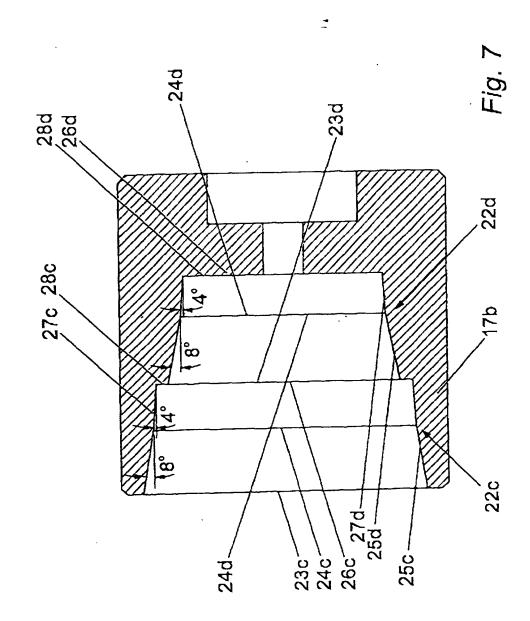
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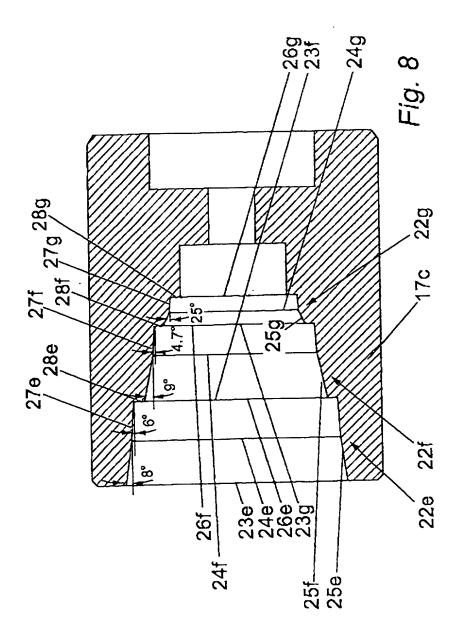
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Fig. 6



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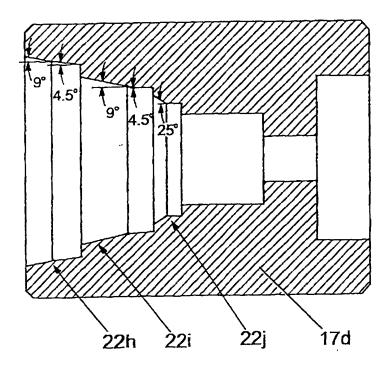
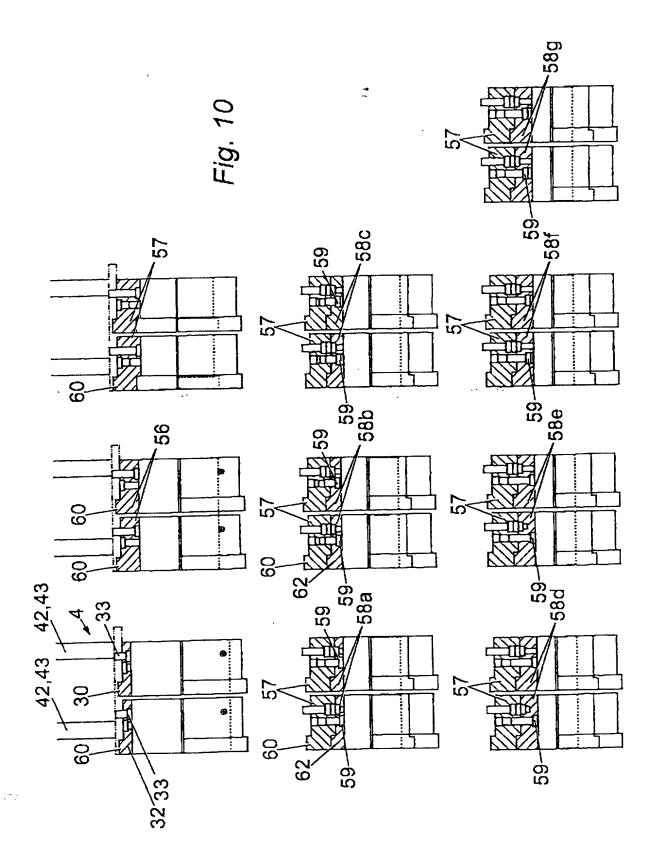


Fig. 9

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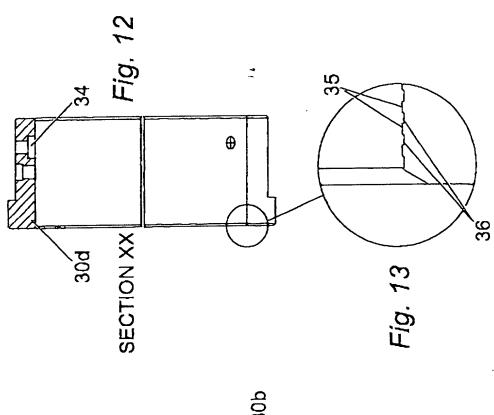
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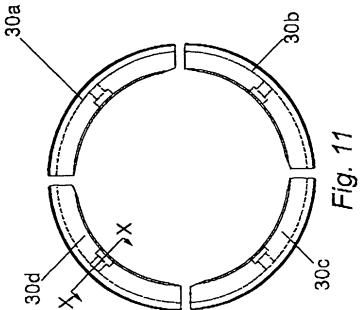
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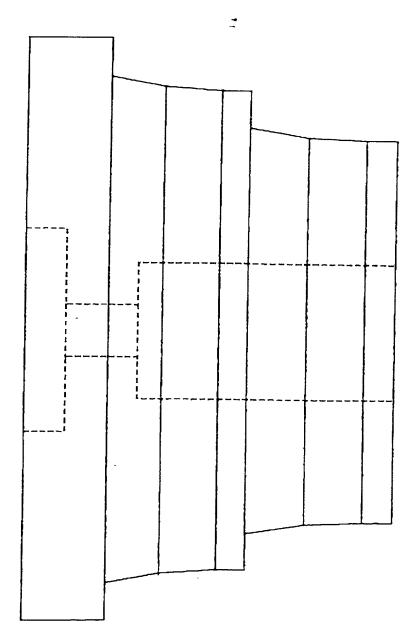


Fig. 14

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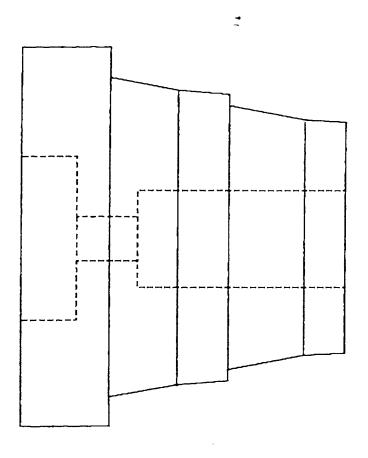


Fig. 15

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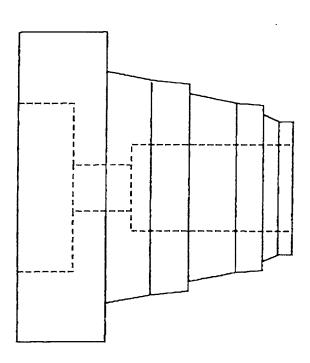


Fig. 16

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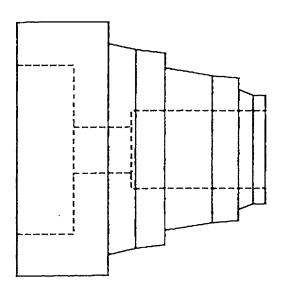


Fig. 17



Attorney Docket No. M0378/7000 DRW

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## APPARATUS FOR SWAGING AN OBJECT

the specification of which was filed as PCT International Application No. PCT/GB00/00430, on February 11, 2000, which entered the U.S. on August 13, 2001, as U.S. Application Serial No. 09/913,370.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b):

Priority Claimed

9903150.2

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(DD/MM/YY Filed)

(ES NO

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56

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which became available between the filing date of the prior application and the national or PCT International filing date of this application:

ID:

(Application No.) (filing date) (status-patented, pending, abandoned)

PCT International Applications designating the United States:

PCT/US00/00430 11.02.00 Pending

(PCT Appl. No.) (U.S. Ser. No.) (PCT filing date) (status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

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Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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